

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : John S. Laudo
Serial No. : 10/706,263
Filed : November 12, 2003
Title : AMPLIFYING WAVELENGTH DIVISION MUX/DEMUX
Docket : BAT 0064 PA/40078.252
Art Unit : 2874
Confirm. No. : 6874

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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James E. Beyer

Reg. No. 39,564

Sir:

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UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicant submits herewith patents, publications, and other information of which he is aware, which he believes may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicant reserves the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is believed to be filed before the mailing date of a first office action on the merits.

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Docket No. BAT 0064 PA
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The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

Respectfully submitted,
DINSMORE & SHOHL LLP

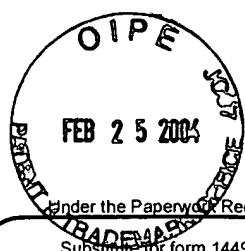
By



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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/706,263
Filing Date	11/12/2003
First Named Inventor	John S. Laudo
Art Unit	2874
Examiner Name	
Attorney Docket Number	BAT 0064 PA/40078.252

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,339,662 B1	01-15-2002	Koteles et al.	
		US- 6,330,090 B1	12-11-2001	Martin et al.	
		US- 6,239,891 B1	05-29-2001	Nakama	
		US- 6,137,933	10-24-2000	Hunter et al.	
		US- 6,094,284	07-25-2000	Huber	
		US- 6,049,418	04-11-2000	Srivastava et al.	
		US- 6,049,417	04-11-2000	Srivastava et al.	
		US- 6,307,668 B1	10-23-2001	Bastien et al.	
		US- 6,122,095	09-19-2000	Fatehi	
		US- 6,111,674	08-29-2000	Bhagavatula	
		US- 6,108,471	08-22-2000	Zhang et al.	
		US- 5,933,271	08-03-1999	Waarts et al.	
		US- 5,808,763	09-15-1998	Duck et al.	
		US- 5,799,118	08-25-1998	Ogusu et al.	
		US- 5,355,237	10-11-1994	Lang et al.	
		US- 5,228,103	07-13-1993	Chen et al.	
		US- 5,206,920	04-27-1993	Cremer et al.	
		US- 5,107,359	04-21-1992	Ohuchida	
		US- 4,773,063	09-20-1998	Hunsperger et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Number-Kind Code² (<i>if known</i>)			
		US- 4,387,955	06-14-1983	Ludman et al.	
		US-			
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FOREIGN PATENT DOCUMENTS

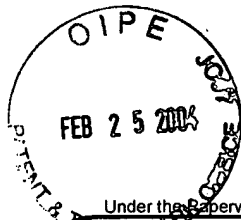
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First Named Inventor John S. Laudo

Art Unit 2874

Examiner Name

Attorney Docket Number BAT 0064 PA/40078.252

Sheet 3 of 3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		WEIBIN HUANG AND RICHARD R.A. SYMS, ANALYSIS OF FOLDED ERBIUM-DOPED PLANAR WAVEGUIDE AMPLIFIERS BY THE METHOD OF LINES, JOURNAL OF LIGHTWAVE TECHNOLOGY, December, 1999, pgs. 2658-2664, Vol. 17, No. 12	

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